JC02 Rec'd PCT/PTO

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER BUR920020012US1

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION (G. (1770), Se 7 (1.5)	
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/US2002/040868	19 December 2002	19 December 2002	
TITLE OF INVENTION FINFET SRAM CELL USING INVERTED F	INFET THIN FILM TRANSISTORS		
APPLICANT(S) FOR DO/EO/US	III IIII IIII IIIII IIIII		
Breitwisch et al.			
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	/US) the following items and other information:	
1. X This is a <b>FIRST</b> submission of items co	oncerning a submission under 35 U.S.C. 371	·	
2. This is a SECOND or SUBSEQUENT s	submission of items concerning a submission	n under 35 U.S.C. 371,	
3. This is an express request to begin nati (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items	
4. X The US has been elected (Article 31).			
5. X A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))		
a. is attached hereto (required	only if not communicated by the Internation	al Bureau).	
b. X has been communicated by	the International Bureau.		
c. is not required, as the applic	cation was filed in the United States Receivi	ng Office (RO/US).	
6. An English language translation of the	e International Application as filed (35 U.S.C	3. 371(c)(2)).	<u>د</u> د د
a. is attached hereto.		· ·	V
b. has been previously submit	tted under 35 U.S.C. 154(d)(4).		
7. Amendments to the claims of the Inte	rnational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))	
a. are attached hereto (requir	red only if not communicated by the Internat	ional Bureau).	
b. have been communicated	by the International Bureau.		
c. have not been made; howe	ever, the time limit for making such amendm	ents has NOT expired.	,
d. have not been made and v	vill not be made.		
8. An English language translation of th	e amendments to the claims under PCT Art	cle 19 (35 U.S.C. 371(c)(3)).	
9. X An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).		
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT	:
Items 11 to 20 below concern document(s)	or information included:	EXPRESS MAIL NO .: FY507334364US	
11. X An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING	
12. An assignment document for recording	g. A separate cover sheet in compliance wit	DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS TO MAKE IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF	•-
13. A preliminary amendment.		PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF	
14. An Application Data Sheet under 37 C	CFR 1.76.	DEPOSIT AS THE FILE DATE.	
15. A substitute specification.		DATE OF DEPOSIT: 6/15/05	
16. A power of attorney and/or change of	address letter.	BY SIGNATURE & DATE: Much Borres	L
17. A computer-readable form of the sequ	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.	
18. A second copy of the published Intern	ational Application under 35 U.S.C. 154(d)(	4).	
19. A second copy of the English languag	e translation of the international application	under 35 U.S.C. 154(d)(4).	
20. Other items or information:			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to life (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC09 Rec'd PCT/PTO 15 JUN 2005

P10 1390 (Rev. 02-2005) d for use through 3/33/2007, OMB 0651-0021 J.S. Patent and Trade, and Office, U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trad Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER U.S. APPLICATION BUR920020012USI CALCULATIONS PTO USE ONLY The following fees have been submitted 300.00 Basic national fee.....\$300 21. X MOR VALME If International preliminary examination report prepared by USPTO and all claims satisfy provisions of CCCLINTAGELTY \$ 200.00 PCT Article 33(1)-(4)......\$100 CSA THUCOCUM TIESD All other situations.....\$200 23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 FFF International Search Report prepared and provided to the Office..... \$ 500.00 All other situations.....\$500 \$ 1000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE Extra Sheets Number of each additional 50 or fraction **Total Sheets** thereof (round up to a whole number) x \$250 - 100 = /50 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE \$ **CLAIMS** x \$50 Total claims L. 200.00 24 \$200 Independent daims <del>972005<sup>00</sup> Walvarad</del> iustment Pate: 09/29/2005 WHLVHKY 22/2005 LANDGRA 50000064 090458 MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0539335 109.88 CRoo TOTAL OF ABOVIE OF ALCONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 22 SUBTOTAL = \$ 1600.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 1600.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 1600.00 Amount to be 09/29/2005 WALVARAD 00000002 090458 Sale Ref: 00000000 AARLANDOABS 10 18539335 1600.00 1000anddedDA 01 FC:1643 02 FC:1641 to cover the above fees is enclosed. 100.00 DA A check in the amount of \$ Please charge my Deposit Account No. 09-0458 in the amount of \$ 1600.00 to cover the above fees. ь. lx.l A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 09-0458 A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 GFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Cüstomer No. 32074 **Ş**IĞMATÜRE IBM Corporation 2070 Route 52 <u>Jay H. Anderson</u> Hopewell Junction, NY 12533 NAME 845-894-3667 38,371

REGISTRATION NUMBER